

# A UNIVERSAL METRIC FOR THE CANONICAL BUNDLE OF A HOLOMORPHIC FAMILY OF PROJECTIVE ALGEBRAIC MANIFOLDS

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*Dedicated to M. Salah Baouendi on the occasion of his 60th birthday.*

## 1. INTRODUCTION

In his celebrated work [S-98, S-02], Siu proved that the plurigenera of any algebraic manifold are invariant in families. More precisely, let  $\pi : \mathcal{X} \rightarrow \mathbb{D}$  be a holomorphic submersion (i.e.,  $d\pi$  is nowhere zero) from a complex manifold  $\mathcal{X}$  to the unit disk  $\mathbb{D}$ , and assume that every fiber  $\mathcal{X}_t := \pi^{-1}(t)$  is a compact projective manifold. Then for every  $m \in \mathbb{N}$ , the function  $P_m : \mathbb{D} \rightarrow \mathbb{N}$  defined by  $P_m(t) := h^0(\mathcal{X}_t, mK_{\mathcal{X}_t})$  is constant.

Siu's approach to the problem begins with the observation that the function  $P_m$  is upper semi-continuous. Thus in order to prove that  $P_m$  is continuous (hence constant) it suffices to show that given a global holomorphic section  $s$  of  $mK_{\mathcal{X}_0}$ , there is a family of global holomorphic sections  $s_t$  of  $\mathcal{X}_t$ , for all  $t$  in a neighborhood of 0, that varies holomorphically with  $t$  and satisfies  $s_0 = s$ .

To prove such an extension theorem, Siu establishes a generalization of the Ohsawa-Takegoshi Extension Theorem to the setting of complex submanifolds of a Kahler manifold having codimension 1 and cut out by a single, bounded holomorphic function. This theorem, which we will discuss below, requires the existence of a singular Hermitian metric on the ambient manifold having non-negative curvature current, with respect to which the section to be extended is  $L^2$ . Thus in the presence of the extension theorem, the approach reduces to construction of such a metric.

The case where the fibers  $\mathcal{X}_t$  of our holomorphic family are of general type was treated in [S-98]. In this setting, Siu produced a single singular Hermitian metric  $e^{-\kappa}$  for  $K_X$  so that every  $m$ -canonical section is  $L^2$  with respect to  $e^{-(m-1)\kappa}$ .

However, in the case where the fibers  $\mathcal{X}_t$  of our holomorphic family are assumed only to be algebraic, and not necessarily of general type, Siu's proof in [S-02] does not construct a single metric as in the case of general type. Instead, Siu constructs for every section  $s$  of  $mK_{\mathcal{X}_0}$  a singular Hermitian metric for  $mK_{\mathcal{X}}$  of non-negative curvature so that  $s$  is  $L^2$  with respect to this metric.

**DEFINITION.** Let  $\mathcal{X} \rightarrow \Delta$  be a holomorphic family of complex manifolds and  $\mathcal{X}_0$  the central fiber of  $\mathcal{X}$ . A universal canonical metric for the pair  $(\mathcal{X}, \mathcal{X}_0)$  is a singular Hermitian metric  $e^{-\kappa}$  for the canonical bundle  $K_{\mathcal{X}}$  of  $\mathcal{X}$  such that for every global holomorphic section  $s \in H^0(\mathcal{X}_0, mK_{\mathcal{X}_0})$ ,

$$\int_{\mathcal{X}_0} |s|^2 e^{-(m-1)\kappa} < +\infty.$$

The goal of this paper is to prove that for any holomorphic family  $\mathcal{X} \rightarrow \Delta$  of compact complex algebraic manifolds with central fiber  $\mathcal{X}_0$ , the pair  $(\mathcal{X}, \mathcal{X}_0)$  has a universal canonical metric having non-negative curvature current. To this end, our main theorem is the following result.

**THEOREM 1.** *Let  $X$  be a complex manifold admitting a positive line bundle  $A \rightarrow X$ , and  $Z \subset X$  a smooth compact complex submanifold of codimension 1. Assume there is a subvariety  $V \subset X$  not containing  $Z$  such that  $X - V$  is a Stein manifold. Let  $T \in H^0(X, Z)$  be a holomorphic section of*

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the line bundle associated to  $Z$ , thought of as a divisor. Let  $E \rightarrow X$  be a holomorphic line bundle and denote by  $K_X$  the canonical bundle of  $X$ . Assume we are given singular metrics  $e^{-\varphi_E}$  for  $E$  and  $e^{-\varphi_Z}$  for the line bundle associated to  $Z$ .

Suppose in addition that the above data satisfy the following assumptions.

- (R) The metrics  $e^{-\varphi_E}$  and  $e^{-\varphi_Z}$  restrict to singular metrics on  $Z$ .
- (B)

$$\sup_X |T|^2 e^{-\varphi_Z} < +\infty.$$

- (G) The line bundles  $p(K_X + Z + E) + A$ ,  $0 \leq p \leq m-1$ , are globally generated, in the sense that a finite number of sections of  $H^0(X, p(K_X + Z + E) + A)$  generate the sheaf  $\mathcal{O}_X(p(K_X + Z + E) + A)$ .
- (P)  $\sqrt{-1}\partial\bar{\partial}\varphi_E \geq 0$  and there exists a constant  $\mu$  such that  $\mu\sqrt{-1}\partial\bar{\partial}\varphi_E \geq \sqrt{-1}\partial\bar{\partial}\varphi_Z$ .
- (T) The singular metric  $e^{-(\varphi_Z + \varphi_E)}|_Z$  has trivial multiplier ideal:

$$\mathcal{I}(Z, e^{-(\varphi_Z + \varphi_E)}|_Z) = \mathcal{O}_Z.$$

Then there is a metric  $e^{-\kappa}$  for  $K_X + Z + E$  with the following properties:

- (C)  $\sqrt{-1}\partial\bar{\partial}\kappa \geq 0$ .
- (L) For every  $m > 0$  and every section  $s \in H^0(Z, m(K_Z + E|_Z))$ ,  $|s|^2 e^{-((m-1)\kappa + \varphi_E + \varphi_Z)}$  is locally integrable.
- (I) For every integer  $m > 0$  and every section  $s \in H^0(Z, m(K_Z + E))$ ,

$$\int_Z |s|^2 e^{-(m-1)\kappa + \varphi_E} < +\infty.$$

REMARKS. (i) For the ambient manifold  $X$ , we have in mind the following two examples: either  $X$  is compact complex projective (in which case the variety  $V$  could be taken to be a hyperplane section of some embedding of  $X$ ) or else  $X$  is a family of compact complex algebraic manifolds. In the former case, it is well-known that the hypothesis (G) holds for any sufficiently ample  $A$ , while in the latter case, one might have to shrink  $X$  a little to obtain (G). Of course, there are many other examples of such  $X$ .

- (ii) Note that in condition (L), the local functions  $|s|^2 e^{-((m-1)\kappa + \varphi_E + \varphi_Z)}$  depend on the local trivializations of the line bundles in question. However, the local integrability condition is independent of these choices.

Together with a variant of the Ohsawa-Takegoshi Theorem (Theorem 4 below), Theorem 1 implies a generalization of Siu's extension theorem to the case where the normal bundle of the submanifold  $Z$  is not necessarily trivial. The first extension theorem of this type was established by Takayama [Ta-05, Theorem 4.1] under some additional hypotheses. The general case was done in [V-06], where Theorem 4 was also established. The argument here is related to that of [V-06], but the focus is on construction of the metric rather than on the extension theorem.

As a result of Theorem 1, we have the following corollary, which is our stated goal.

**COROLLARY 2.** *For every holomorphic family  $\mathcal{X} \rightarrow \Delta$  of smooth projective varieties with central fiber  $\mathcal{X}_0$ , the pair  $(\mathcal{X}, \mathcal{X}_0)$  has, perhaps after slightly shrinking the family, a universal canonical metric having non-negative curvature current.*

*Proof.* Let  $X$  be a family of compact projective manifolds  $\pi : \mathcal{X} \rightarrow \mathbb{D}$ , and  $Z = \mathcal{X}_0$  the central fiber. Take  $T = \pi$ ,  $E = \mathcal{O}_{\mathcal{X}}$  and  $\varphi_E \equiv 0$ . Since  $\mathcal{X}_0$  is cut out by a single holomorphic function, the line bundle associated to  $\mathcal{X}_0$  is trivial. Take  $\varphi_Z \equiv 0$ . Then the hypotheses of Theorem 1 are satisfied, perhaps after shrinking the family, and we obtain a metric  $e^{-\kappa}$  for  $K_{\mathcal{X}}$  such that  $\sqrt{-1}\partial\bar{\partial}\kappa \geq 0$  and  $|s|^2 e^{-(m-1)\kappa_m}$  is integrable for every integer  $m > 0$  and every section  $s \in H^0(\mathcal{X}_0, mK_{\mathcal{X}_0})$ .  $\square$

REMARK. Note that in the setting of families, the constant  $\mu$  is not needed, and the hypotheses (L) and (I) are the same.

REMARK. In his paper [Ts-02], Tsuji has claimed the existence of a metric with the properties stated in Corollary 2. As in our approach, Tsuji's proof makes use of an infinite process. It seems that convergence of this process was not checked; in fact, it is demonstrated in [S-02] that Tsuji's process, as well as any reasonable modification of it, diverges.

PROPOSITION 3. *For each integer  $m > 0$ , fix a basis  $s_1^{(m)}, \dots, s_{N_m}^{(m)}$  of  $H^0(X, m(K_Z + E|Z))$ . Choose constants  $\varepsilon_m$  such that the metric*

$$\kappa_0 := \log \left( \sum_{m=1}^{\infty} \varepsilon_m \left( \sum_{\ell=1}^{N_m} |s_{\ell}^{(m)}|^2 \right)^{1/m} \right)$$

*is convergent. Suppose  $e^{-\varphi_E}$  is locally integrable. Then for each  $m > 0$  and every  $s \in H^0(X, m(K_Z + E|Z))$ ,*

$$\int_Z |s|^2 e^{-((m-1)\kappa_0 + \varphi_E)} < +\infty.$$

*Proof.* Fix  $s \in H^0(X, m(K_Z + E|Z))$ , and let  $\kappa_{0,m} = \log \left( \sum_{\ell=1}^{N_m} |s_{\ell}^{(m)}|^2 \right)^{1/m}$ . Note that  $e^{-\kappa_0} \lesssim e^{-\kappa_{0,m}}$ , and thus we have

$$\begin{aligned} \int_Z |s|^2 e^{-(m-1)\kappa_0 + \varphi_E} &\lesssim \int_Z |s|^2 e^{-(m-1)\kappa_{0,m} + \varphi_E} \\ &= \int_Z |s|^{2/m} \left( \frac{|s|^2}{|s_1^{(m)}|^2 + \dots + |s_{N_m}^{(m)}|^2} \right)^{(m-1)/m} e^{\gamma_E - \varphi_E} e^{-\gamma_E} \\ &\lesssim \int_Z |s|^{2/m} e^{\gamma_E - \varphi_E} e^{-\gamma_E} \\ &\lesssim \left( \int_Z |s|^2 e^{\gamma_E - \varphi_E} e^{-m\gamma_E} \omega^{-(n-1)(m-1)} \right)^{1/m} \left( \int_Z e^{\gamma_E - \varphi_E} \omega^{n-1} \right)^{(m-1)/m}, \end{aligned}$$

where  $\omega$  is a fixed Kähler form for  $Z$  and  $e^{-\gamma_Z}$  is a smooth metric for  $E|Z$ . The last inequality is a consequence of Hölder's Inequality. Since  $e^{-\varphi_E}$  is locally integrable, we are done.  $\square$

A calculation similar to the proof of Proposition 3 shows that  $|s|^2 e^{-((m-1)\kappa_0 + \varphi_Z + \varphi_E)}$  is locally integrable on  $Z$ . Thus in view of Proposition 3, Theorem 1 follows if we construct a metric  $e^{-\kappa}$  with non-negative curvature current such that  $e^{-\kappa}|Z = e^{-\kappa_0}$ . This is precisely what we do. We employ a technical simplification, due to Paun [P-05], of Siu's original idea of extending metrics using an Ohsawa-Takegoshi-type extension theorem for sections.

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## 2. THE OHSAWA-TAKEGOSHI EXTENSION THEOREM

Let  $Y$  be a Kähler manifold of complex dimension  $n$ . Assume there exists an analytic hypersurface  $V \subset Y$  such that  $Y - V$  is Stein. Examples of such manifolds are Stein manifolds (where  $V$  is empty) and projective algebraic manifolds (where one can take  $V$  to be the intersection of  $Y$  with a projective hyperplane in some projective space in which  $Y$  is embedded).

Fix a smooth hypersurface  $Z \subset Y$  such that  $Z \not\subset V$ . In [V-06] we proved the following generalization of the Ohsawa-Takogoshi Extension Theorem.

**THEOREM 4.** *Suppose given a holomorphic line bundle  $H \rightarrow Y$  with a singular Hermitian metric  $e^{-\psi}$ , and a singular Hermitian metric  $e^{-\varphi_Z}$  for the line bundle associated to the divisor  $Z$ , such that the following properties hold.*

- (i) *The restrictions  $e^{-\psi}|_Z$  and  $e^{-\varphi_Z}|_Z$  are singular metrics.*
- (ii) *There is a global holomorphic section  $T \in H^0(Y, Z)$  such that*

$$Z = \{T = 0\} \quad \text{and} \quad \sup_Y |T|^2 e^{-\varphi_Z} = 1.$$

- (iii)  *$\sqrt{-1}\partial\bar{\partial}\psi \geq 0$  and there is an integer  $\mu > 0$  such that  $\mu\sqrt{-1}\partial\bar{\partial}\psi \geq \sqrt{-1}\partial\bar{\partial}\varphi_Z$ .*

*Then for every  $s \in H^0(Z, K_Z + H)$  such that*

$$\int_Z |s|^2 e^{-\psi} < +\infty \quad \text{and} \quad s \wedge dT \in \mathcal{J}(e^{-(\varphi_Z + \psi)}|_Z),$$

*there exists a section  $S \in H^0(Y, K_Y + Z + H)$  such that*

$$S|_Z = s \wedge dT \quad \text{and} \quad \int_Y |S|^2 e^{-(\varphi_Z + \psi)} \leq 40\pi\mu \int_Z |s|^2 e^{-\psi}.$$

## 3. INDUCTIVE CONSTRUCTION OF CERTAIN SECTIONS BY EXTENSION

Fix a holomorphic line bundle  $A \rightarrow X$  such that the property (G) in Theorem 1 holds. Let us fix bases

$$\{\tilde{\sigma}_j^{(m,0,p)} ; 1 \leq j \leq M_p\}$$

of  $H^0(X, p(K_X + Z + E) + A)$ . We let  $\sigma_j^{(m,0,p)} \in H^0(Z, p(K_Z + E|_Z) + A|_Z)$  be such that

$$\tilde{\sigma}_j^{(m,0,p)}|_Z = \sigma_j^{(m,0,p)} \wedge (dT)^{\otimes p}.$$

We also fix smooth metrics

$$e^{-\gamma_Z} \quad \text{and} \quad e^{-\gamma_E} \quad \text{for } Z \rightarrow X, \text{ and } E \rightarrow X$$

respectively. Finally, let us fix bases

$$s_1^{(m)}, \dots, s_{N_m}^{(m)} \quad \text{for } H^0(X, m(K_Z + E|_Z)), \quad m = 1, 2, \dots,$$

orthonormal with respect to the singular metric  $(\omega^{-(n-1)} e^{-\gamma_E})^{m-1} e^{-\varphi_E}$  for  $(m-1)K_Z + mE|_Z$ . (Since  $e^{-\varphi_E}$  is locally integrable, every holomorphic section is integrable with respect to this metric.)

**PROPOSITION 5.** *For each  $m = 1, 2, \dots$  there exist a constant  $C_m < +\infty$  and sections*

$$\tilde{\sigma}_{j,\ell}^{(m,k,p)} \in H^0(X, (km+p)(K_X + Z + E) + A)$$

*where  $p = 1, 2, \dots, m-1$ ,  $1 \leq j \leq M_p$ ,  $1 \leq \ell \leq N_m$  and  $k = 1, 2, \dots$ , with the following properties.*

- (a)  $\tilde{\sigma}_{j,\ell}^{(m,k,p)}|_Z = (s_\ell^{(m)})^{\otimes k} \otimes \sigma_j^{(m,0,p)} \wedge (dT)^{(km+p)}$

(b) If  $k \geq 1$ ,

$$\int_X \frac{\sum_{j=1}^{M_0} |\tilde{\sigma}_{j,\ell}^{(m,k,0)}|^2 e^{-(\gamma_Z + \gamma_E)}}{\sum_{j=1}^{M_{m-1}} |\tilde{\sigma}_{j,\ell}^{(m,k-1,m-1)}|^2} \leq C_m.$$

(c) For  $1 \leq p \leq m-1$ ,

$$\int_X \frac{\sum_{j=1}^{M_p} |\tilde{\sigma}_{j,\ell}^{(m,k,p)}|^2 e^{-(\gamma_Z + \gamma_E)}}{\sum_{j=1}^{M_{p-1}} |\tilde{\sigma}_{j,\ell}^{(m,k,p-1)}|^2} \leq C_m.$$

*Proof.* (Double induction on  $k$  and  $p$ .) Fix a constant  $\hat{C}_m$  such that the

$$\sup_X \frac{\sum_{j=1}^{M_0} |\tilde{\sigma}_j^{(m,0,0)}|^2 \omega^{n(m-1)} e^{(m-1)(\gamma_Z + \gamma_E)}}{\sum_{j=1}^{M_{m-1}} |\tilde{\sigma}_j^{(m,0,m-1)}|^2} \leq \hat{C}_m$$

and

$$\sup_Z \frac{\sum_{j=1}^{M_0} |\sigma_j^{(m,0,0)}|^2 \omega^{(n-1)(m-1)} e^{(m-1)\gamma_E}}{\sum_{j=1}^{M_{m-1}} |\sigma_j^{(m,0,m-1)}|^2} \leq \hat{C}_m,$$

and for all  $0 \leq p \leq m-2$ ,

$$\sup_X \frac{\sum_{j=1}^{N_{p+1}} |\tilde{\sigma}_j^{(m,0,p+1)}|^2 \omega^{-n} e^{-(\gamma_Z + \gamma_E)}}{\sum_{j=1}^{M_p} |\tilde{\sigma}_j^{(m,0,p)}|^2} \leq \hat{C}_m,$$

and

$$\sup_Z \frac{\sum_{j=1}^{N_{p+1}} |\sigma_j^{(m,0,p+1)}|^2 \omega^{-(n-1)} e^{-\gamma_E}}{\sum_{j=1}^{M_p} |\sigma_j^{(m,0,p)}|^2} \leq \hat{C}_m.$$

( $k = 0$ ) We set  $\tilde{\sigma}_{j,\ell}^{(m,0,p)} := \tilde{\sigma}_j^{(m,0,p)}$  and simply observe that

$$\int_X \frac{\sum_{j=1}^{M_p} |\tilde{\sigma}_{j,\ell}^{(m,0,p)}|^2 e^{-(\gamma_Z + \gamma_E)}}{\sum_{j=1}^{M_{p-1}} |\tilde{\sigma}_{j,\ell}^{(m,0,p-1)}|^2} \leq \hat{C}_m \int_X \omega^n.$$

( $k \geq 1$ ) Assume the result has been proved for  $k-1$ .

(( $p = 0$ )): Consider the sections  $(s_\ell^{(m)})^{\otimes k} \otimes \sigma_j^{(m,0,0)}$ , and define the semi-positively curved metric

$$\psi_{k,\ell,0} := \log \sum_{j=1}^{M_{m-1}} |\tilde{\sigma}_{j,\ell}^{(m,k-1,m-1)}|^2$$

for the line bundle  $(mk-1)(K_X + Z + E) + A$ . Observe that locally on  $Z$ ,

$$\begin{aligned} |(s_\ell^{(m)} \wedge dT^m)^k \otimes \sigma_j^{(m,0,0)}|^2 e^{-(\varphi_Z + \psi_{k,\ell,0} + \varphi_E)} &= |s_\ell^{(m)} \wedge dT^m|^2 \frac{|\sigma_j^{(m,0,0)}|^2 e^{-(\varphi_Z + \varphi_E)}}{\sum_{j=1}^{M_{m-1}} |\sigma_j^{(m,0,m-1)}|^2} \\ &\lesssim |s_\ell^{(m)}|^2 e^{-(\varphi_Z + \varphi_E)}. \end{aligned}$$

Moreover, we have

$$\sqrt{-1} \partial \bar{\partial} (\psi_{k,\ell,0} + \varphi_E) \geq 0 \quad \text{and} \quad \mu \sqrt{-1} \partial \bar{\partial} (\psi_{k,\ell,0} + \varphi_E) \geq \sqrt{-1} \partial \bar{\partial} \varphi_Z.$$

Finally,

$$\begin{aligned} & \int_Z |(s_\ell^{(m)})^k \otimes \sigma_j^{(m,0,0)}|^2 e^{-(\psi_{k,\ell,0} + \varphi_E)} \\ &= \int_Z |s_\ell^{(m)}|^2 \frac{|\sigma_j^{(m,0,0)}|^2 e^{(m-1)\gamma_E} e^{-((m-1)\gamma_E + \varphi_E)}}{\sum_{j=1}^{M_{m-1}} |\sigma_j^{(m,0,m-1)}|^2} < +\infty. \end{aligned}$$

We may thus apply Theorem 4 to obtain sections

$$\tilde{\sigma}_{j,\ell}^{(m,k,0)} \in H^0(X, mk(K_X + Z + E) + A), \quad 1 \leq j \leq M_0, \quad 1 \leq \ell \leq N_m,$$

such that

$$\tilde{\sigma}_{j,\ell}^{(m,k,0)}|_Z = (s_\ell^{(m)})^{\otimes k} \otimes \sigma_{j,\ell}^{(m,0,0)} \wedge (dT)^{\otimes km}, \quad 1 \leq j \leq M_0, \quad 1 \leq \ell \leq N_m,$$

and

$$\int_X |\tilde{\sigma}_{j,\ell}^{(m,k,0)}|^2 e^{-(\psi_{k,\ell,0} + \varphi_Z + \varphi_E)} \leq 40\pi\mu \int_Z |s_\ell^{(m)}|^2 \frac{|\sigma_j^{(0)}|^2 e^{-(\varphi_E + \varphi_B)}}{\sum_{j=1}^{N_{m-1}} |\sigma_j^{(m-1)}|^2}.$$

Summing over  $j$ , we obtain

$$\begin{aligned} & \int_X \frac{\sum_{j=1}^{M_0} |\tilde{\sigma}_{j,\ell}^{(m,k,0)}|^2 e^{-(\gamma_Z + \gamma_E)}}{\sum_{j=1}^{M_{m-1}} |\tilde{\sigma}_{j,\ell}^{(m,k-1,m-1)}|^2} \\ & \leq \sup_X e^{\varphi_Z + \varphi_E - \gamma_Z - \gamma_E} \int_X \frac{\sum_{j=1}^{M_0} |\tilde{\sigma}_{j,\ell}^{(m,k,0)}|^2 e^{-(\varphi_Z + \varphi_E)}}{\sum_{j=1}^{M_{m-1}} |\tilde{\sigma}_{j,\ell}^{(m,k-1,m-1)}|^2} \\ & \leq 40\pi \sup_X e^{\varphi_Z + \varphi_E - \gamma_Z - \gamma_E} \int_Z |s_\ell^{(m)}|^2 \frac{\sum_{j=1}^{M_0} |\sigma_j^{(m,0,0)}|^2 e^{-\varphi_E}}{\sum_{j=1}^{M_{m-1}} |\sigma_j^{(m,0,m-1)}|^2} e^{-\kappa} \\ & \leq 40\pi \hat{C}_m \sup_X e^{\varphi_Z + \varphi_E - \gamma_Z - \gamma_E} \int_Z |s_\ell^{(m)}|^2 \omega^{-(n-1)(m-1)} e^{-((m-1)\gamma_E + \varphi_E)} \\ & = 40\pi \hat{C}_m \sup_X e^{\varphi_Z + \varphi_E - \gamma_Z - \gamma_E}. \end{aligned}$$

$((1 \leq p \leq m-1))$ : Assume that we have obtained the sections  $\tilde{\sigma}_{j,\ell}^{(m,k,p-1)}$ ,  $1 \leq j \leq M_{p-1}$ ,  $1 \leq \ell \leq N_m$ . Consider the non-negatively curved singular metric

$$\psi_{k,\ell,p} := \log \sum_{j=1}^{M_{p-1}} |\tilde{\sigma}_{j,\ell}^{(m,k,p-1)}|^2$$

for  $(km + p - 1)(K_X + Z + E) + A$ . We have

$$|(s_\ell^{(m)})^k \otimes \sigma_j^{(m,0,p)}|^2 e^{-(\varphi_Z + \psi_{k,\ell,p} + \varphi_E)} = \frac{|\sigma_j^{(m,0,p)}|^2 e^{-(\varphi_Z + \varphi_E)}}{\sum_{j=1}^{M_{p-1}} |\sigma_j^{(m,0,p-1)}|^2} \lesssim e^{-(\varphi_Z + \varphi_E)},$$

which is locally integrable on  $Z$  by the hypothesis (T). Next,

$$\begin{aligned} \int_Z |(s_\ell^{(m)})^k \otimes \sigma_j^{(m,0,p)}|^2 e^{-(\psi_{k,\ell,p} + \varphi_E)} &= \int_Z \frac{|\sigma_j^{(m,0,p)}|^2 e^{-\varphi_E}}{\sum_{j=1}^{M_{p-1}} |\sigma_j^{(m,0,p-1)}|^2} \\ &\leq C^* \int_Z e^{\gamma_Z} \frac{|\sigma_j^{(m,0,p)}|^2 e^{-(\varphi_Z + \varphi_E)}}{\sum_{j=1}^{M_{p-1}} |\sigma_j^{(m,0,p-1)}|^2} < +\infty, \end{aligned}$$

where

$$C^\star := \sup_Z e^{\varphi_Z - \gamma_Z}.$$

Moreover,

$$\sqrt{-1}\partial\bar{\partial}(\psi_{k,\ell,p} + \varphi_E) \geq 0 \quad \text{and} \quad \sqrt{-1}\partial\bar{\partial}(\psi_{k,\ell,p} + \varphi_E) \geq \sqrt{-1}\partial\bar{\partial}\varphi_Z.$$

By Theorem 4 there exist sections

$$\tilde{\sigma}_{j,\ell}^{(m,k,p)} \in H^0(X, (mk+p)(K_X + Z + E) + A), \quad 1 \leq j \leq M_0$$

such that

$$\tilde{\sigma}_{j,\ell}^{(m,k,p)}|_Z = (s_\ell^{(m)})^{\otimes k} \otimes \sigma_{j,\ell}^{(m,0,p)} \wedge (dT)^{\otimes km+p}, \quad 1 \leq j \leq M_p,$$

and

$$\int_X |\tilde{\sigma}_{j,\ell}^{(m,k,p)}|^2 e^{-(\psi_{k,\ell,p} + \varphi_Z + \varphi_E)} \leq 40\pi\mu \int_Z \frac{|\sigma_j^{(m,0,p)}|^2 e^{-\varphi_E}}{\sum_{j=1}^{M_{p-1}} |\sigma_j^{(m,0,p-1)}|^2}.$$

Summing over  $j$ , we obtain

$$\int_X \frac{\sum_{j=1}^{M_p} |\tilde{\sigma}_{j,\ell}^{(m,k,p)}|^2 e^{-(\gamma_Z + \gamma_E)}}{\sum_{j=1}^{M_{p-1}} |\tilde{\sigma}_{j,\ell}^{(m,k,p-1)}|^2} \leq 40\pi\mu \sup_X e^{\varphi_Z + \varphi_E - \gamma_Z - \gamma_E} \hat{C}_m \int_Z e^{-\varphi_E} \omega^{n-1}.$$

Letting

$$C_m := 40\pi\mu \hat{C}_m \max \left( \int_X \omega^n, \sup_X e^{\varphi_Z + \varphi_E + \varphi_B - \gamma_Z - \gamma_E}, \sup_X e^{\varphi_Z + \varphi_E - \gamma_Z - \gamma_E} \int_Z e^{-\varphi_E} \omega^{n-1} \right)$$

completes the proof.  $\square$

#### 4. CONSTRUCTION OF THE METRIC

**4.1. A metric associated to  $\mathbf{m}(\mathbf{K}_X + \mathbf{Z} + \mathbf{E})$ .** Fix a smooth metric  $e^{-\psi}$  for  $A \rightarrow X$ . Consider the functions

$$\lambda_{\ell,N}^{(m)} := \log \sum_{j=1}^{M_p} |\tilde{\sigma}_{j,\ell}^{(m,k,p)}|^2 \omega^{-n(mk+p)} e^{-(km(\gamma_Z + \gamma_E) + \psi)},$$

where  $N = mk + p$ . Set

$$\lambda_N^{(m)} := \log \sum_{\ell=1}^{N_m} e^{\lambda_{\ell,N}^{(m)}}.$$

**LEMMA 6.** *For any non-empty open subset  $V \subset X$  and any smooth function  $f : \bar{V} \rightarrow \mathbb{R}_+$ ,*

$$\frac{1}{\int_V f \omega^n} \int_V (\lambda_N^{(m)} - \lambda_{N-1}^{(m)}) f \omega^n \leq \log \left( \frac{N_m C_m \sup_V f}{\int_V f \omega^n} \right).$$

*Proof.* Observe that by Proposition 5, there exists a constant  $C_m$  such that for any open subset  $V \subset X$ ,

$$\int_V (e^{\lambda_{\ell,N}^{(m)} - \lambda_{\ell,N-1}^{(m)}}) f \omega^n \leq C_m \sup_V f,$$

and thus

$$\int_V (e^{\lambda_N^{(m)} - \lambda_{N-1}^{(m)}}) f \omega^n = \sum_{\ell=1}^{N_m} \int_V (e^{\lambda_{\ell,N}^{(m)} - \lambda_{\ell,N-1}^{(m)}}) f \omega^n \leq N_m C_m \sup_V f.$$

An application of (the concave version of) Jensen's inequality to the concave function  $\log$  then gives

$$\frac{1}{\int_V f \omega^n} \int_V (\lambda_N^{(m)} - \lambda_{N-1}^{(m)}) f \omega^n \leq \log \left( \frac{N_m C_m \sup_V f}{\int_V f \omega^n} \right).$$

The proof is complete.  $\square$

Consider the function

$$\Lambda_k^{(m)} = \frac{1}{k} \lambda_{mk}^{(m)}.$$

Note that  $\Lambda_k^{(m)}$  is locally the sum of a plurisubharmonic function and a smooth function. By applying Lemma 6 and using the telescoping property, we see that for any open set  $V \subset X$  and any smooth function  $f : \overline{V} \rightarrow \mathbb{R}_+$ ,

$$(1) \quad \frac{1}{\int_V f \omega^n} \int_V \Lambda_k^{(m)} f \omega^n \leq m \log \left( \frac{N_m C_m \sup_V f}{\int_V f \omega^n} \right).$$

PROPOSITION 7. *There exists a constant  $C_o^{(m)}$  such that*

$$\Lambda_k^{(m)}(x) \leq C_o^{(m)}, \quad x \in X.$$

*Proof.* Let us cover  $X$  by coordinate charts  $V_1, \dots, V_N$  such that for each  $j$  there is a biholomorphic map  $F_j$  from  $V_j$  to the ball  $B(0, 2)$  of radius 2 centered at the origin in  $\mathbb{C}^n$ , and such that if  $U_j = F_j^{-1}(B(0, 1))$ , then  $U_1, \dots, U_N$  is also an open cover. Let  $W_j = V_j \setminus F_j^{-1}(B(0, 3/2))$ .

Now, on each  $V_j$ ,  $\Lambda_k^{(m)}$  is the sum of a plurisubharmonic function and a smooth function. Say  $\Lambda_k^{(m)} = h + g$  on  $V_j$ , where  $h$  is plurisubharmonic and  $g$  is smooth. Then for constant  $A_j$  we have

$$\begin{aligned} \sup_{U_j} \Lambda_k^{(m)} &\leq \sup_{U_j} g + \sup_{U_j} h \\ &\leq \sup_{U_j} g + A_j \int_{W_j} h \cdot F_{j*} dV \\ &\leq \sup_{U_j} g - A_j \int_{W_j} g \cdot F_{j*} dV + A_j \int_{W_j} \Lambda_k^{(m)} \cdot F_{j*} dV \end{aligned}$$

Let

$$C_j^{(m)} := \sup_{U_j} g - A_j \int_{W_j} g \cdot F_{j*} dV$$

and define the smooth function  $f_j$  by

$$f_j \omega^n = F_{j*} dV.$$

Then by (1) applied with  $V = W_j$  and  $f = f_j$ , we have

$$\sup_{U_j} \Lambda_k^{(m)} \leq C_j^{(m)} + m A_j \log \left( \frac{N_m C_m \sup_{W_j} f_j}{\int_{W_j} f_j \omega^n} \right) \int_{W_j} f_j \omega^n.$$

Letting

$$C_o^{(m)} := \max_{1 \leq j \leq N} \left\{ C_j^{(m)} + m A_j \log \left( \frac{N_m C_m \sup_{W_j} f_j}{\int_{W_j} f_j \omega^n} \right) \int_{W_j} f_j \omega^n \right\}$$

completes the proof.  $\square$

Since the upper regularization of the lim sup of a uniformly bounded sequence of plurisubharmonic functions is plurisubharmonic (see, e.g., [H-90, Theorem 1.6.2]), we essentially have the following corollary.

COROLLARY 8. *The function*

$$\Lambda^{(m)}(x) := \limsup_{y \rightarrow x} \limsup_{k \rightarrow \infty} \Lambda_k^{(m)}(y)$$

*is locally the sum of a plurisubharmonic function and a smooth function.*

*Proof.* One need only observe that the function  $\Lambda_k$  is obtained from a singular metric on the line bundle  $m(K_X + Z + E)$  (this singular metric  $e^{-\kappa_k^{(m)}}$  will be described shortly) by multiplying by a fixed smooth metric of the dual line bundle.  $\square$

Consider the singular Hermitian metric  $e^{-\kappa^{(m)}}$  for  $m(K_X + Z + E)$  defined by

$$e^{-\kappa^{(m)}} = e^{-\Lambda^{(m)}} \omega^{-nm} e^{-m(\gamma_Z + \gamma_E)}.$$

This singular metric is given by the formula

$$e^{-\kappa^{(m)}(x)} = \exp \left( - \limsup_{y \rightarrow x} \limsup_{k \rightarrow \infty} \kappa_k^{(m)}(y) \right),$$

where

$$e^{-\kappa_k^{(m)}} = e^{-\Lambda_k^{(m)}} \omega^{-nm} e^{-m(\gamma_Z + \gamma_E)}.$$

The curvature of  $e^{-\kappa_k^{(m)}}$  is thus

$$\begin{aligned} \sqrt{-1} \partial \bar{\partial} \kappa_k^{(m)} &= \frac{\sqrt{-1}}{k} \partial \bar{\partial} \log \sum_{\ell=1}^{N_m} \sum_{j=1}^{N_0} |\tilde{\sigma}_{j,\ell}^{(m,k,0)}|^2 - \frac{1}{k} \sqrt{-1} \partial \bar{\partial} \psi \\ &\geq -\frac{1}{k} \sqrt{-1} \partial \bar{\partial} \psi \end{aligned}$$

We claim next that the curvature of  $e^{-\kappa}$  is non-negative. To see this, it suffices to work locally. Then we have that the functions

$$\kappa_k^{(m)} + \frac{1}{k} \psi$$

are plurisubharmonic. But

$$\limsup_{y \rightarrow x} \limsup_{k \rightarrow \infty} \kappa_k^{(m)} + \frac{1}{k} \psi = \limsup_{y \rightarrow x} \limsup_{k \rightarrow \infty} \kappa_k^{(m)} = \kappa^{(m)}.$$

It follows that  $\kappa^{(m)}$  is plurisubharmonic, as desired.

**4.2. The metric for  $K_X + Z + E$ ; Proof of Theorem 1.** Let  $\varepsilon_m$  be constants, chosen so  $\varepsilon_m \searrow 0$  sufficiently rapidly that the sum

$$e^\kappa := \sum_{m=1}^{\infty} \varepsilon_m e^{\frac{1}{m} \kappa^{(m)}} = \sum_{m=1}^{\infty} \exp \left( \frac{1}{m} \kappa^{(m)} + \log \varepsilon_m \right).$$

converges everywhere on  $X$  (to a metric for  $-(K_X + Z + E)$ ). It is possible to find such constants since, by Proposition 7, each  $\kappa^{(m)}$  is locally uniformly bounded from above. (The lower bound  $e^{\kappa^{(m)}} \geq 0$  is trivial.) Moreover, by elementary properties of plurisubharmonic functions,  $\kappa$  is plurisubharmonic. Indeed, for any  $r \in \mathbb{N}$ , the function

$$\psi_r := \log \sum_{m=1}^r \exp \left( \frac{1}{m} \kappa^{(m)} + \log \varepsilon_m \right)$$

is plurisubharmonic, and  $\psi_r \nearrow \kappa$ . It follows that  $\kappa = \sup_r \psi_r$  is plurisubharmonic. (Again, see [H-90, Theorem 1.6.2].) Thus  $e^{-\kappa}$  is a singular Hermitian metric for  $K_X + Z + E$  with non-negative curvature current.

Observe that, after identifying  $K_Z$  with  $(K_X + Z)|_Z$  by dividing by  $dT$ ,

$$\kappa_k^{(m)}|_Z = \log \left( \sum_{\ell=1}^{N_m} |s_\ell^{(m)}|^2 \right) + \frac{1}{k} \log \sum_{j=1}^{M_0} |\sigma_j^{(m,0,0)}|^2.$$

Thus we obtain  $e^{-\kappa^{(m)}}|_Z = \left( \sum_{\ell=1}^{N_m} |s_\ell^{(m)}|^2 \right)^{-1}$ . It follows that

$$e^{-\kappa}|_Z = \frac{1}{\sum_{m=1}^{\infty} \varepsilon_m \left( \sum_{\ell=1}^{N_m} |s_\ell^{(m)}|^2 \right)^{2/m}}.$$

In view of the short discussion following the proof of Proposition 3, the metric  $e^{-\kappa}$  satisfies the conclusions of Theorem 1. The proof of Theorem 1 is thus complete.  $\square$

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